

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Helland et al.

Art Unit: 2756

Application No. 09/425,102

Filed: October 22, 1999

For: SOFTWARE COMPONENT EXECUTION  
MANAGEMENT USING CONTEXT  
OBJECTS FOR TRACKING  
EXTERNALLY-DEFINED INTRINSIC  
PROPERTIES OF EXECUTING  
SOFTWARE COMPONENTS WITHIN  
AN EXECUTION ENVIRONMENT

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on August 16, 2000 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

  
Attorney for Applicant

Examiner: Not assigned

Date: August 16, 2000

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

TO THE COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.


Applicants filed this Information Disclosure Statement before the mailing date of a first Office action on the merits. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit

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overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

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cc: Client

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>BY APPLICANT</b>				Docket: 3382-53553		App: 09/425,102	
				Applicant: Helland et al.			
				Filed: October 22, 1999		Art Unit: 2756	
<b>U.S. PATENT DOCUMENTS</b>							
Init.*		Number	Date	Name	Class	Sub	Filed
		5,625,775	4/29/97	Davis et al.			
		5,606,719	2/25/97	Nichols et al.			
		5,889,957	3/30/99	Ratner et al.			
		5,301,280	4/5/94	Schwartz et al.			
		4,972,437	11/90	Citron et al.			
		5,907,675	5/99	Aahlad			
		5,765,174	6/98	Bishop et al.			
		5,958,004	9/99	Helland et al.			
		6,061,796	5/9/00	Chen et al.			
		5,857,201	1/5/99	Wright Jr. et al.			
		5,619,710	4/8/97	Travis Jr. et al.			
		5,958,010	9/28/99	Agarwal et al.			
		5,790,789	8/4/98	Suarez			
		5,857,197	1/5/99	Mullins			
		5,864,669	1/26/99	Osterman et al.			
		5,889,942	3/30/99	Orenshteyn			

EXAMINER:	DATE
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\*Examiner: Initial if considered, whether or not in conformance with MPEP 6  
draw line through cite if not in conformance and not considered. Send copy.

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>BY APPLICANT</b>			Docket: 3382-53553	App: 09/425,102
			Applicant: Helland et al.	
			Filed: October 22, 1999	Art Unit: 2756
<b>OTHER DOCUMENTS</b>				
			Adams et al., "Pointer and Run-time Allocation," C++ <i>An Introduction to Computing</i> , chapter 15, pages 860-869 (1995)	
			Brockschmidt, "Inside OLE" (second edition), chapter 5, pages 219-276 and chapter 22, pages 1011-1063 (1995)	
			"Java Remote Method Invocation Specification," <i>Java Development Kit (JDK)</i> , Vol. 1, Sun Microsystems, Inc., (1996,1997)	
			J. Steinman. "Incremental State Saving in Speeds Using C++," IEEE, pp. 687-696	
			Limprecht R. Limprecht, "Microsoft Transaction Server," IEEE, Compcon '97, pp. 14-18	
EXAMINER:			DATE	
*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send copy.				